

1.207874
23384

(19)



Europäisches Patentamt
European Patent Office
Office européen des brevets

(11)

EP 1 145 736 A2

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:
17.10.2001 Bulletin 2001/42

(51) Int Cl.7: A61N 1/36

(21) Application number: 01303246.1

(22) Date of filing: 05.04.2001

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE TR

Designated Extension States:
AL LT LV MK RO SI

(30) Priority: 05.04.2000 US 543450

(71) Applicant: **Neuropace, Inc.**
Sunnyvale, CA 94085 (US)

(72) Inventor: **Pless, Benjamin D.**
Atherton, California 94027 (US)

(74) Representative: **Price, Nigel John King**
J.A. KEMP & CO.
14 South Square
Gray's Inn
London WC1R 5JJ (GB)

(54) Multimodal neurostimulator

(57) This is directed to an implantable multimodal neurostimulator having improved efficacy in treating epilepsy and other neurological disorders and to processes of using that neurostimulator. The neurostimulator itself generally has two modes of electrical stimulation: the first involves delivering a non-responsive electrical stimulation signal which is applied to the central nervous system to reduce the likelihood of a seizure or other undesirable neurological even from occurring, and a second mode that involves delivering electrical stimulation signal or signals when epileptiform waveforms are im-

pending or extant. The responsive electrical stimulation signal or signals are intended to terminate epileptiform activity, e.g., to desynchronize abnormally synchronous brain electrical activity. Alternatively, the second mode may be used to deliver sensory stimulation, e.g., a scalp or sound stimulation, to the patient rather than deliver electrical stimulation to the patient. Finally, the implanted neurostimulator may be used by a physician to induce epileptiform activity and then verify the effectiveness of the parameters of the first and second neurostimulation signal or signals.

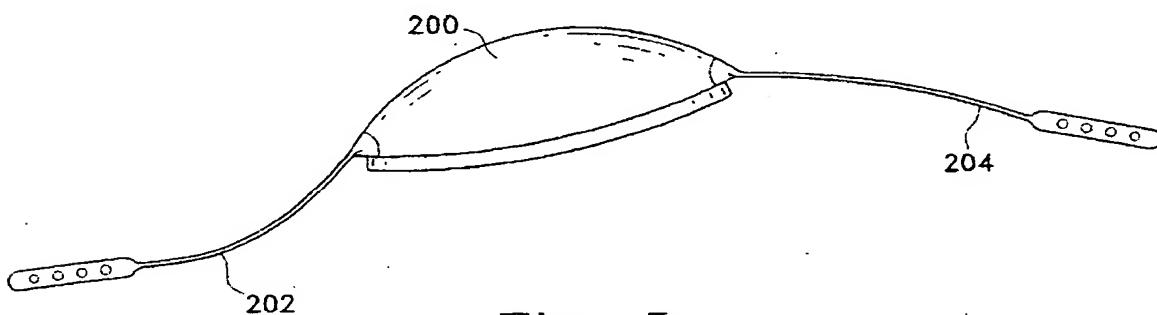


Fig. 5

EP 1 145 736 A2

Description**Field of the Invention**

[0001] This invention is directed to an implantable neurostimulator having improved efficacy in treating epilepsy and other neurological disorders and to processes of using that neurostimulator. The neurostimulator itself generally involves two modes of electrical stimulation: the first involves delivering a non-responsive electrical stimulation signal which is applied to the central nervous system to reduce the likelihood of a seizure or other undesirable neurological even from occurring, and a second mode that involves delivering electrical stimulation signal or signals when epileptiform waveforms are impending or extant.

[0002] The responsive electrical stimulation signal or signals are intended to terminate epileptiform activity, e. g., to desynchronize abnormally synchronous brain electrical activity.

[0003] Alternatively, the second mode may be used to deliver sensory stimulation, e.g., a scalp or sound stimulation, to the patient rather than deliver electrical stimulation to the patient.

[0004] Finally, the neurostimulator may be used by a physician to induce epileptiform activity and then verify the effectiveness of the parameters of the first and second neurostimulation signal or signals.

Background of the Invention

[0005] Epileptic seizures are characterized by excessive or abnormally synchronous neuronal activity. Neurologists recognize a wide variety of seizures. Partial onset seizures begin in one part of the brain; general onset seizures arise throughout the entire brain simultaneously. When partial onset seizures progress to involve much of the brain, they are said to have "secondarily generalized." Some seizures result in the loss of conscious awareness and are termed "complex" seizures. So-called "simple" seizures may involve other symptoms, but consciousness is unimpaired. Seizure symptoms may include sensory distortions, involuntary movements, or loss of muscle tone. The behavioral features of a seizures often reflect a function of the cortex where the abnormal electrical activity is found.

[0006] Physicians have been able to treat epilepsy by resecting certain brain areas by surgery and by medication. Brain surgery is irreversible, and is ineffective or is associated with neural morbidity in a sizable percentage of cases. Medication is the most prevalent treatment for epilepsy. It is effective in over half of patients, but in the remainder of the patients, the medication is either ineffective in controlling seizures, or the patients suffer from debilitating side effects. A more promising method of treating patients having epileptic seizures is by electrical stimulation of the brain.

[0007] Since the early 1970's, electrical brain stimu-

lators have been used which provide more or less constant stimulation, the stimulation largely being unrelated to detected electrical activity.

[0008] Electrical stimulation of the nervous system has been used to suppress seizures. A device is described in Cooper et al. for stimulation of the cerebellum. See, "The Effect of Chronic Stimulation of Cerebellar Cortex on Epilepsy and Man," I.S. Cooper et al in The Cerebellum, Epilepsy and Behavior, Cooper, Riklan and Snyder Edition, Plenum Press, New York 1974. Others

have utilized devices which stimulated the centro median nucleus of the thalamus. See, "Electrical Stimulation of the Centro Median Thalamic Nucleus in Control of Seizures: Long Term Studies." F. Valasco et al, *Epilepsia*, 36 (1): 63-71, 1995. Chaos Theory has been used to apply stimulation to a seizure focus *in vitro* to abort the seizure. See, S. Schiff et al, "Controlling Chaos in the Brain," *Nature*, Volume 370, August 25, 1994.

[0009] Non responsive electrical stimulation devices have been used for significant periods. The devices and procedures did not constitute a panacea, however. For instance, a 17 year follow-up study shown in Davis et al. ("Cerebellar Stimulation for Seizure Control 17 Year Study," Proceedings of the Meeting of the American Society for Stereotactic and Functional Neurosurgery, Pittsburgh, Pennsylvania, June 16-19, 1991 and in *Stereotact. Funct. Neurosurg.* 1992; 58: 200-208) showed that less than one-half of the patients became seizure free, even though 85% showed some benefit.

[0010] In contrast, responsive stimulation, specifically electrical stimulation, that is applied to the brain, has not yet been used to treat patients in long-term studies. This is true even though there are algorithms suitable for detection of the onset of an epileptic seizure. For instance,

Qu et al provide an algorithm said to recognize patterns of electrical activity similar to those developed while recording an actual epileptic seizure. See, Qu et al., "A Seizure Warning System for Long-Term Epilepsy Monitoring, *Neurology*," 1995; 45:2250-2254. Similarly, Osario, et al. have suggested an algorithm applied to signals from intracranial electrodes with good results. See Osario, et al. "A Method For Accurate Automated Real-Time Seizure Detection," *Epilepsia*, Vol. 35, supplement 4, 1995.

[0011] None of the cited documents describes procedures in which a non-responsive electrical stimulation signal is applied to the brain in a first mode and, upon detection of impending or of extant epileptiform electrical activity, a second responsive mode of stimulation is applied to the brain either with or without cessation of non-responsive stimulation.

SUMMARY OF THE INVENTION

[0012] The invention is an implantable neurostimulator having improved efficacy in treating epilepsy and other neurological disorders and processes of using that neurostimulator. The method generally includes three or

more steps. Initially, a non-responsive electrical stimulation signal is applied to the brain in a non-responsive mode. Secondly, some brain electrical activity is detected either during the non-responsive stimulation signal or after the non-responsive stimulation signal is paused. Third, when that detected electrical activity shows an impending or existing epileptiform brain electrical activity, a second electrical stimulation signal is applied to the brain. Alternatively, a sensory stimulation, e.g., sound or scalp twitch, may be directed to the patient in place of or in addition to the second electrical stimulation signal.

[0013] The first or non-responsive electrical stimulation signal, may or may not be paused during the second phase as desired. The non-responsive stimulation may be diurnally varied or varied on some other schedule as desired. The brain electrical activity may be detected in a variety of ways including scalp electrodes, cortical electrodes, or the electrical activity may be monitored at a depth within the brain. The responsive electrical stimulation signal may be applied to one or more electrodes placed on or about the brain. If multiple electrodes are chosen, either for measurement of the brain electrical activity or application of the responsive stimulation, the electrodes may be chosen so that they are independently selectable if so desired. The responsive stimulation (and the non-responsive stimulation) may be defined by parameters such as the electrode or electrodes selected, pulse width, interpulse interval, pulse amplitude, pulse morphology, the number of pulses in the burst, the number of bursts, and the intervals between bursts. Each of these parameters for either the responsive or the non-responsive stimulation may be changed or left static during a mode of the process.

[0014] The procedure may include a pause of the responsive stimulation for detection of or measurement of brain electrical activity. This may then be followed by either re-commencement of the non-responsive stimulation, or, if the desired cessation of epileptiform activity has not been achieved, by a continuation of the responsive stimulation.

[0015] The procedure may also include the step of using the implanted neurostimulator to apply electrical stimulation to the brain under physician control to cause epileptiform activity and a second step of using the implanted neurostimulator to apply a responsive stimulation signal which terminates that epileptiform activity. This permits the neurostimulator to be used to test the effectiveness of the parameters selected for responsive stimulation. The testing may be done before, during, or anytime after implantation of the inventive neurostimulator to assess functionality, in addition, the testing may be used to verify the effectiveness of the non-responsive stimulation parameters by assessing the relative ease or difficulty in initiating epileptiform activity.

[0016] In general, the implantable neuro-stimulator includes at least a first brain electrical activity sensor near or in contact with the brain, at least a first stimulator elec-

trode for providing a non-responsive stimulation to the brain and optionally for providing the responsive stimulation, a non-responsive signal source for the first stimulation electrode, one or more (optional) second stimulator electrodes for providing the responsive stimulation, and a responsive stimulation source. The non-responsive and responsive sources may be integrated into a single source if so desired.

[0017] Desirably there may be two brain electrodes: 10 the first used for non-responsive stimulation and positioned in or on the cerebellum or in a deep brain structure such as the thalamus, hippocampus or amygdala, the second used for responsive stimulation and placed on or near the seizure focus or a neural pathway involved in sustaining or propagating the epileptiform activity. In some instances there may be only one electrode that is used for both purposes. Conversely, in some variation of the invention, the patient will benefit from a larger number of electrodes being used.

[0018] This invention has the following advantages:

1. improved ability to terminate epileptiform activity,
2. less likely to generalize ongoing epileptiform activity,
- 25 3. optimally controls seizures by lowering the incidence of seizures as well as treating instances of breakthrough epileptiform activity, and
4. provides for optimization of stimulation parameters programmed into the implanted neurostimulator.

A BRIEF DESCRIPTION OF THE DRAWINGS

[0019] Figure 1A shows a time graph of typical first and second modes and the operation of a blanking operation as used in the inventive process.

[0020] Figure 1B shows a circuit useful in blanking input to a measurement step as shown in Fig. 1A.

[0021] Figure 2A and 2B show a time graph of alternative methods for detecting electrical activity in the brain by pausing the responsive and non-responsive stimulation of the inventive process.

[0022] Figure 3 shows a graph of conventions used in describing pulse and burst parameters.

[0023] Figures 4A-4F show time graphs of exemplary changes in pulse and burst parameters useful in the inventive process.

[0024] Figure 5 is a depiction of one variation of the inventive neurostimulator having multiple electrodes.

DESCRIPTION OF THE INVENTION

[0025] As noted elsewhere, this invention includes a neurostimulation method and devices for practicing that method.

NEUROSTIMULATION METHODS

[0026] In one variation of the invention, the neurostimulation process includes at least two modes. The first mode involves application of a generally "non-responsive" electrical stimulation (or stimulation signal) to the brain. The second mode involves the application of a "responsive" electrical stimulation to the brain or a sensory stimulation elsewhere to the body. Optionally, the process includes steps for detection of electrical activity of the brain, analysis of that activity for impending or existent epileptiform activity, and decision-making steps relating whether to initiate responsive stimulation or to change the parameters of that stimulation.

[0027] As used herein, "non-responsive" stimulation refers to the application of electrical therapy intended to lower the probability of a seizure occurring. The parameters (electrode or electrodes used, number of pulses, amplitude, pulse to pulse interval, duration of pulses, etc.) of the non-responsive stimulation, or the application of the non-responsive stimulation may be set or varied as a result of the detection of signals from the patient's body including the nervous system and brain. The parameters of non-responsive stimulation may also be set by a physician. In general, however, and unless the context of the term indicates otherwise, a non-responsive stimulation is one in which the parameters of that stimulation are not controlled or modified in the implantable neurostimulator as a result of the detection of an existing or impending epileptiform event unless done so in conjunction with the use of the response stimulation.

[0028] As used herein, "responsive" stimulation refers to the application of electrical therapy in response to the detection of an electrographic (or some other) event indicating an impending or existent seizure. The electrographic event may be the beginning of an electrographic seizure, epileptiform activity, or other features of the EEG that typically occur prior to a seizure. Other events may include motion detection, or external triggering.

[0029] As used herein, "seizure" may represent a behavioral seizure wherein clinical evidence of functional or cognitive manifestations of the seizure may be elucidated by testing the patient; or electrographic seizure which refers to abnormalities detectable on the EEG (whether from brain, scalp or other electrodes).

[0030] By "stimulation", we mean an electrical signal applied to brain tissue or some type of sensory input applied to the patient to elicit a response. The latter may include such physical motions such as vibration, other electrical signals not to brain tissue (for example a scalp twitch), light flashes, sound pulses, etc.

[0031] The term "epileptiform activity" refers to the manifestation on an EEG (cortical, depth, or scalp) of abnormal brain activity whether associated with clinical manifestations or not.

[0032] "Electrical stimulation" means the application of an electric field or electric current to biological tissue, "stimulation" means electrical or sensory stimulation.

[0033] The brain's electrical activity is detected and analyzed to detect epileptiform activity or to detect such impending activity. If the epileptiform activity is present or impending, the second mode of responsive stimulation is initiated. The results of the analysis of the epileptiform activity may also be used to modify the parameters of the non-responsive stimulation to optimize the suppression of seizures of other undesirable neurological events.

[0034] The parameters (electrode or electrodes used, number of pulses, amplitude, frequency, duration of pulses, etc.) of the responsive stimulation may be varied. The variation of the parameters may be based either upon a preprogrammed sequence or based upon some characteristic of the detected epileptiform activity. Additionally, the parameters of the responsive stimulation may be advantageously varied between different episodes of spontaneous epileptiform activity to minimize the tendency of the stimulation itself to predispose the brain to epileptogenesis (also known as "kindling"). Application of the responsive stimulation may be temporally paused or the amplifier blanked during responsive stimulation to allow analysis of the electrical activity of the brain to determine whether the stimulation has had its desired effect. Readjustment of the parameters of the responsive stimulation in the second mode may be repeated as long as it is advantageous in terminating the undesirable epileptiform activity.

[0035] This inventive procedure provides for multimodal therapies to be delivered not only to terminate impending or existent epileptiform activity, but also to diminish the likelihood that native seizures will occur. In addition to providing for responsive stimulation delivered upon detecting an indication of epileptiform activity, this invention includes the additional first mode of operation for decreasing the incidence of seizures using non-responsive stimulation. The use of non-responsive stimulation in conjunction with responsive stimulation optimizes the control of seizures by providing a multimodal device that reduces the incidence of seizures, and is also effective at terminating any breakthrough seizures which may occur.

[0036] In addition, a testing mode is provided in the implanted device that can be used in conjunction with the responsive and non-responsive modes of operation mentioned above. Once the implantable neurostimulator has been connected to the patient, the testing mode allows for non-invasive verification of the functionality and appropriate programmed settings of the parameters for the responsive and non-responsive modes of operation.

FIRST MODE STIMULATION

[0037] In its most basic variation, the procedure and device provides neurostimulation in a first mode that is believed to modulate neurotransmitter levels or provide neural desynchronization in the brain resulting in a re-

duction of seizure incidence. Appropriate use of the non-responsive mode may also be used to reduce the risk of kindling, a phenomenon whereby stimulation may make the neural tissue more prone to epileptogenesis. In addition, any epileptiform electrical activity that may occur is terminated by responsive stimulation in the second mode. As will be discussed below, the first mode (non-responsive) stimulation and the second mode (responsive) stimulation may be delivered from the same electrode, but preferably are delivered from separate electrodes connected to the same implantable neurostimulator. The location of the electrode for the second mode (responsive) stimulation is preferably near the epileptogenic focus. The electrode for first mode (non-responsive) stimulation is preferably in a deep brain structure such as the thalamus, hippocampus, amygdala or is in contact with the cerebellum.

[0038] The first mode (non-responsive) stimulation typically is made up of low intensity, short duration pulses delivered at about a 20 to 150 Hz rate. To reduce the likelihood of kindling, pulse to pulse intervals of as much as a second or more may be used for typically 15 minutes or more. The parameters for application of the non-responsive stimulation may be varied according to circadian rhythms. In particular, for some patients, it will be advantageous to alter the stimulation patterns before or during normal sleep times to avoid disrupting sleep patterns, particularly REM sleep.

RESPONSIVE STIMULATION

[0039] As noted above, the responsive stimulation is initiated when an analysis of the brain's electrical activity shows an impending or existent neurological event, such as epileptiform activity. To detect such activity reliably while the first (non-responsive) mode of stimulation is in progress often presents challenges. In some cases, the level of non-responsive stimulation is set at a low enough level, and the sensing electrodes are physically far enough away, that the stimulation does not interfere with detection of brain activity. The use of closely spaced electrodes for either non-responsive stimulation and detection, or both, is helpful in this regard. Often however, it is necessary to take measures to keep the non-responsive stimulation from interfering with detection of brain activity. One method for doing that is to "blank" the detection amplifier (or other detecting circuit component) during the pulse output of the non-responsive stimulation. If that is not effective in eliminating the interference, it may be necessary to periodically pause application of the non-responsive stimulation to allow detection of brain activity.

[0040] Figure 1A shows the known concept of "blanking" in this inventive procedure. We show in the uppermost portion in the drawing a representative non-responsive stimulation signal (100) as a function of time. The pulse width of each stimulation pulse is exaggerated for clarity. In practice, a typical pulse width of 0.2

msec could be used, and the pulse to pulse interval would be about 20 msec. Similarly, just below the non-responsive stimulation (100) is a representative responsive stimulation (102) which has been initiated as the

5 result of detected electrical neurological activity. During the period just before and during each of the stimuli, the input to some component of the detecting function, typically an amplifier, is "blanked" to prevent detecting the stimuli as if they were signals generated by the brain.

10 The blanking is terminated a short period after the pulse ceases. For instance, although the entire stimulation pulse duration is about 0.2 msec, the entire blanking period per pulse might be about 1.0 msec. For a pulse-to-pulse interval of 20 msec, 95% of the time remains available for detecting brain activity. The blanking signal (104) shows the gating time (not to scale) which is used to prevent the sensors from passing information to the related sensing and detecting equipment during the time the stimulation is imposed. Curve (104) shows the "on-off" states for the blanking. The dashed lines from the non-responsive stimulation (100) and a responsive stimulation (102) depict how the blanking periods are formed.

[0041] The typical stimulation pulses shown in Figure 25 1A are biphasic and typically have a duration of 0.025 to 0.50 milliseconds per phase. The blanking signal (104) slightly precedes and lasts longer than the stimulation pulses to assure that no stimulation artifact disturbs the measurement. The overall duration of the

30 blanking time desirably is typically 1 to 5 milliseconds.

[0042] Figure 1B shows a conceptual circuit which 35 may be used to cause blanking as shown in Figure 1A. The differential amplifier (118) which detects brain activity has two electrodes (120) and (122). One electrode (122) may be connected to a ground reference (124), which ground reference (124) may be either in the brain or elsewhere in or on the patient's body. The electrical signal detected from the brain is amplified by a differential amplifier (118) before getting additional filtering and 40 amplification by amplifier (126). Blanking switch (128) interposed between differential amplifier (118) and amplifier (126) is usually closed allowing the signal from the brain to be amplified and filtered. During stimulation, the blanking switch (128) is momentarily opened to keep the 45 electrical artifact from the various stimulation pulses from corrupting the output of amplifier (126). When the blanking switch (128) is opened, capacitor (130) keeps the input of amplifier (126) stable in a "track-and-hold" fashion until blanking switch (128) is closed.

[0043] In some cases it may be advantageous to add 50 gain reduction to the first amplifier stage and/or autozeroing to further minimize the effect of transients caused by stimulation.

[0044] As noted above, another variation of the step 55 for detecting the electrical activity of the brain amidst intermittent instances of stimulation is depicted in Figures 2A and 2B. In this variation, instead of blanking the input to the amplifier, the various electrical stimulation

signals are paused or stopped for a discrete period, during which the measurement of neuroelectrical activity may be made.

[0045] Figure 2A shows a situation in which non-responsive stimulation (140) (shown here with an exaggerated pulse width for clarity) has been applied to the patient and continues to a first quiet or quiescent period (142) during which monitoring of brain electrical activity is performed. In this variation, whether or not epileptiform activity is found to be approaching or is existing during this initial monitoring period (142), the non-responsive stimulation (140) is restarted (144).

[0046] In any event, returning to the first variation shown in Figure 2A, in this example, pending or existent epileptiform electrical activity is detected in some part of the brain during the initial monitoring period (142) and the responsive stimulation (146) is initiated. In this variation, the non-responsive stimulation (144) continues. Later, both the non-responsive stimulation (144) and the responsive stimulation (146) are then temporally paused for monitoring during the subsequent monitoring period (148) to determine whether epileptiform activity has ceased. The responsive stimulation (146) and non-responsive stimulation (144) may be paused simultaneously, or one may cease before the other. In the instance depicted in Figure 2A, the epileptiform activity was terminated and the responsive stimulation (146) is not re-initiated after the subsequent monitoring period (148). Of course, as is discussed below, the responsive stimulation (146) is re-initiated, it may be re-initiated either with or without being modified in some fashion.

[0047] There are several methods of predicting an impending seizure. The methods include monitoring or detection of EEG synchronization from multiple brain sites and a shift in the energy spectrum. A preferred monitoring scheme is detection of a shift in phase-space parameters. When such a shift occurs, it indicates that a seizure is likely to occur soon, e.g., within the next two to sixty minutes. Under such circumstances, the inventive neurostimulation process may both modify the non-responsive parameters of stimulation and initiate the stimulation. The stimulation changes the underlying dynamics of the brain which results in a reduced likelihood of the impending seizure occurring. Of course, if a seizure occurs, or if the monitoring scheme determines that a seizure is imminent in less than a minute, the responsive mode of stimulation is applied to terminate it.

[0048] Figure 2B shows essentially the same scheme as that shown in Figure 2A with the major exception that the variation found in Figure 2B eliminates the non-responsive stimulation signal (144 in Fig. 2A) after the initial monitoring period (142). This variation can be determined either by the decision-making devices of this invention or by pre-programming.

[0049] The second electrical stimulation signals in each of Figures 1A, 1B, 2A, and 2B are depicted as trains of biphasic pulses. Figure 3 depicts the terminology used in discussing those signals.

[0050] In Figure 3 is shown a burst (158) of three pulses (160, 162, and 164). The first two pulses (160, 162) are of low amplitude – the term "amplitude" (166) and the physical meaning may be seen in Figure 3. Amplitude may refer to peak amplitude or average amplitude for non-square pulses. It may refer to any phase of a pulse if the pulse is multiphasic. Amplitude may also be used to describe either the voltage or current for an electrical pulse. The "pulse duration" (168) or time-length of the pulse is depicted as well. Finally, the "pulse-to-pulse interval" (170) of the pulses is the time between pulses.

[0051] As noted above, it is within the scope of this invention to vary the electrode used and the parameters of the pulses or of the burst, as shown in Figure 3, for both the responsive and non-responsive modes of stimulation.

[0052] Figures 4A to 4F show a number of variations of the pulse and burst makeup, which pulse and parameters may be varied either during a responsive electrical stimulation or may be varied from burst to burst.

[0053] Figure 4A shows a simple sequence of bursts having pulses of the same frequency and amplitude in each pulse.

[0054] Figure 4B shows a burst of three pulses in which the duration of the pulses varies as a function of time.

[0055] Figure 4C shows a pair of bursts in which the amplitude of the pulses varies during each burst.

[0056] Figure 4D shows a pair of bursts in which the amplitude of the pulses is increased during the second pulse.

[0057] Figure 4E shows a variation in which the pulse to pulse interval is varied within a burst. This variation is highly desirable in de-synchronizing neuronal activity. The range of pulse to pulse intervals may be varied randomly or changed in a systematic fashion, such as incrementing or decrementing the pulse to pulse interval within a burst.

[0058] Figure 4F depicts another variation of the invention which desynchronizes brain activity to terminate epileptiform activity by spatially desynchronizing activity in the vicinity of the stimulation electrode. To accomplish this, various individual pulse parameters, e.g., pulse spacing, duration or width, and amplitude, within a burst may be varied, particularly in a random, pseudo-random, or fractal fashion. Shorter duration pulses (on the order of 50 to 150 microseconds) tend to directly depolarize smaller diameter nerve cells. Longer pulses (100 to 500 microseconds) depolarize larger diameter nerve cells. By varying pulse amplitude, the individual pulses may be tailored directly to depolarize different neural tissue. Lower amplitude pulses directly depolarize tissue in the immediate vicinity of the electrode; higher amplitude pulses directly depolarize tissue both near the electrode and at some distance from the electrode. By varying the amplitude of the pulses within a burst, local tissue can be depolarized at a higher rate than tissue somewhat distant from the electrode.

[0059] Since the tissue disposed near an electrode may have highly variable anatomy, it is anticipated that any or all of the parameters described (pulse to pulse interval, pulse amplitude, the use of hyperpolarizing pulses, pulse width, etc.) may be varied alone or in combination to optimize the ability of a burst to terminate epileptiform activity in the brain while improving the safety of the burst by reducing the likelihood of inducing epileptiform activity or generalizing such pre-existing activity.

[0060] In addition to producing bursts having pulse intervals having pre-set or absolute time increments, this inventive procedure includes the improvement of setting the pulse to pulse interval based upon the detected temporal interval of the epileptiform activity as sensed by the electrodes detecting the brain electrical activity. In this mode of operation, the rate of the sensed epileptiform activity is detected and measured. The rate of the detected activity is used to modulate the rate, or the average rate, of the burst used to terminate the epileptiform activity perhaps as depicted in Figure 4F.

[0061] It is highly desirable to synchronize initiation of a responsive stimulation burst with certain parameters of the sensed EEG. As is described with greater particularity in (ATTORNEY DOCKET NO. 45999-20007.00, the entirety of which is incorporated by reference) the initiation of the responsive stimulation burst may be delayed for a calculated period that varies from 0 to 100% of the detected EEG interval.

[0062] For the purposes of this invention, a burst (in this variation and in each of the others described herein) may be any number of pulses, but typically is in the range from 1 to 100 pulses. After the burst is delivered, the EEG is re-examined, and if the epileptiform activity was not terminated, a subsequent burst is delivered. As was the case above, the subsequent burst may have the same signal parameters as the first burst, may re-adapt to the changing EEG rate, or may have new parameters to more aggressively attempt to terminate the epileptiform activity, e.g.; higher pulse or burst rate, more pulses, higher amplitude, or modified pulse to pulse intervals, such are shown in Figs. 4A to 4F.

DETERMINATION OF THRESHOLD VALUES

[0063] The following inventive procedures may be used to verify the effectiveness of the implanted neurostimulator and to determine various stimulation parameters for responsive and non-responsive stimulation.

[0064] For instance, to verify pulse parameters for effective termination of epileptiform activity after the neurostimulator has been implanted, the following procedure may be used. An epileptiform-inducing stimulation is introduced into the brain under physician control using the implanted neurostimulator thereby initiating epileptiform activity. A responsive stimulation described by the stimulation signal parameters outlined above, e.g., selected electrode, pulse width, pulse-to-pulse interval,

pulse amplitude, number of pulses in a burst, etc., is applied to the brain. The stimulation signal parameters are varied until the epileptiform activity ceases.

[0065] The steps of initiating epileptiform activity using the implanted neurostimulator, varying stimulation parameters, checking for stimulation effectiveness, and incrementing stimulation parameters may be repeated until a satisfactory cessation of the epileptiform activity is achieved.

[0066] Similarly, the efficacy or threshold values associated with operation of the non-responsive mode may be determined. The efficacy of the non-responsive mode is determined by the physician providing increasingly more severe epileptiform-causing stimulation using the implanted neurostimulator until epileptiform activity begins. The more difficult it is to induce the epileptiform activity, the better the non-responsive mode is functioning. By increasing the length of the burst, and/or the amplitude of the pulses within a burst, it is possible for the physician to determine the ease or difficulty with which epileptiform activity may be induced. By comparing how resistant the brain is to the induction of epileptiform activity when the non-responsive stimulation is either activated or not, or with differing burst parameters for the non-responsive stimulation the physician can optimally set the parameters of the non-responsive stimulation.

IMPLANTABLE NEUROSTIMULATOR

[0067] This inventive device includes a neurostimulator central unit and at least one electrode. The neurostimulator central unit includes the necessary circuitry, e.g., A/D converters, filters, central processing unit(s), digital processing circuits, blanking circuits, power supplies, batteries, signal generators, etc., and programming configured and adapted to perform the steps listed above. Specifically the neurostimulator central unit (200) desirably is as shown in Figure 6 and is shaped in such a way that it conforms to the shape of the skull, although it need not be so. The neurostimulator central unit should at least contain a non-responsive electrical stimulation source, a responsive stimulation source, (where both sources may be the same circuit operated in two modes), and devices for detecting epileptiform activity and for initiating and for terminating the various non-responsive and responsive electrical stimulation. The neurostimulator assembly should also include at least a first brain electrical activity sensor (202), at least a non-responsive neurostimulator electrode (202), and a responsive electrical neurostimulator electrode (204). A detailed embodiment of this structure may be found in US Patent No. 6,016,449. The various necessary connectors, leads, and supporting components are also included. The various sensor and neurostimulator functions may be incorporated into one or more electrodes as shown in Fig. 5, however. The various components perform the functions outlined above.

[0068] A highly desirable aspect of the inventive device is the use of multiple brain electrodes to provide therapy. The detecting electrodes are preferable in contact with the brain, but, as discussed above, may be scalp electrodes or within the brain tissue. Multiple therapy electrodes enhance the ability of electrical stimulation to desynchronize brain activity in terminating epileptiform activity. Although the same burst may be delivered from a multiplicity of electrodes in the vicinity of the epileptogenic focus, we prefer introducing bursts having different signal parameters, particularly pulse to pulse timing, to the brain from different electrodes to achieve a greater degree of spatial heterogeneity of neural activity and most effectively desynchronize brain activity.

[0069] We contemplate that this method of terminating epileptiform activity provides a substantial added benefit in that the lower current densities at the electrodes may be used to affect a larger amount of brain tissue than if a single electrode were used.

[0070] The application of multiple electrodes to different parts or regions of the brain also provides a way to treat epilepsy having more than one focus. Electrodes may be placed on or near the various epileptogenic foci. The inventive neurostimulator senses and stimulates independently from each electrode. Optional amplifier blanking eliminates cross talk, and logical flow in the device's software keeps the device from erroneously detecting its own output as epileptiform activity.

[0071] This inventive device may utilize independently actuatable, spatially separated electrodes so that those epilepsies having many epileptogenic foci or for which the focus is so diffuse the seizure arises from a large portion of the brain, may be treated. In such a case, it is desirable to place one electrode deep in the brain, preferably in the area of the hippocampus. Additional electrodes may be placed on the surface of the cortex. When epileptiform activity is detected, the device stimulates from the hippocampal region to take advantage of the large number of neural pathways emanating from that area into the cortex. Electrodes on the cortex provide additional electrical access to the brain allowing electrical stimulation to terminate epileptiform activity having a greater spatial extent.

[0072] Although preferred embodiments of the invention have been described herein, it will be recognized that a variety of changes and modifications can be made without departing from the spirit of the invention as found in the appended claims.

Claims

1. An implantable neurostimulator assembly for modifying an electrical activity in a human brain, comprising in combination:
 - a.) at least a first brain electrical activity sensor
2. The implantable neurostimulator of claim 1 wherein said first non-responsive electrical signal source is configured to pause said non-responsive electrical signal when said second responsive electrical signal is initiated.
3. The implantable neurostimulator of claim 1 wherein said first non-responsive electrical signal source is configured to continue said first non-responsive electrical signal when said second responsive electrical signal is initiated.
4. The implantable neurostimulator of claim 1 wherein said first brain electrical activity sensor comprises multiple sensors.
5. The implantable neurostimulator of claim 1 wherein said multiple brain electrical activity sensors comprises sensors for measuring said at least one brain electrical activity of said brain simultaneously at different sites in said brain.
6. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity at least at the hippocampus in said brain.
7. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity at least at the cortex in said brain.
8. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity cortically.
9. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity at a depth within the brain.
10. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity on the scalp.

for sensing electrical activity in said brain,
 b.) at least a first non-responsive electrode,
 c.) at least a first non-responsive electrical signal source connectable to said at least said first non-responsive electrical neurostimulator electrode,
 d.) at least a second responsive electrode,
 e.) at least a second responsive electrical signal source connectable to said at least second responsive electrode, said responsive second electrical signal source initiating a responsive stimulation to said at least a second responsive electrode which is responsive to said brain electrical activity sensed by said at least a first brain electrical activity sensor.

2. The implantable neurostimulator of claim 1 wherein said first non-responsive electrical signal source is configured to pause said non-responsive electrical signal when said second responsive electrical signal is initiated.
3. The implantable neurostimulator of claim 1 wherein said first non-responsive electrical signal source is configured to continue said first non-responsive electrical signal when said second responsive electrical signal is initiated.
4. The implantable neurostimulator of claim 1 wherein said first brain electrical activity sensor comprises multiple sensors.
5. The implantable neurostimulator of claim 1 wherein said multiple brain electrical activity sensors comprises sensors for measuring said at least one brain electrical activity of said brain simultaneously at different sites in said brain.
6. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity at least at the hippocampus in said brain.
7. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity at least at the cortex in said brain.
8. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity cortically.
9. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity at a depth within the brain.
10. The implantable neurostimulator of claim 5 wherein said sensors are configured to measure said brain activity on the scalp.

11. The implantable neurostimulator of claim 1 wherein said first non-responsive electrical signal source and said second responsive signal source comprise a single electrical signal source circuit.

5

12. A method of determining the efficacy of stimulation parameters in an implanted neurostimulator following the provision to a human brain undergoing non-responsive stimulation of an epileptiform initiating stimulation, the method comprising:

10

observing electrical activity in said human brain for epileptiform activity; and
if epileptiform activity is neither existent or imminent in said observing step, determining to vary at least one epileptiform initiating stimulation parameter when subsequently repeating the provision of an epileptiform initiating stimulation; and
if epileptiform activity is imminent or existent in said observing step, determining to vary at least one non-responsive stimulation parameter prior to repeating the provision of epileptiform initiating stimulation.

15

20

25

13. A method as claimed in claim 12, wherein said epileptiform initiating stimulation parameters are selected from the group consisting of pulse amplitude, burst duration, pulse duration and pulse-to-pulse interval.

30

35

40

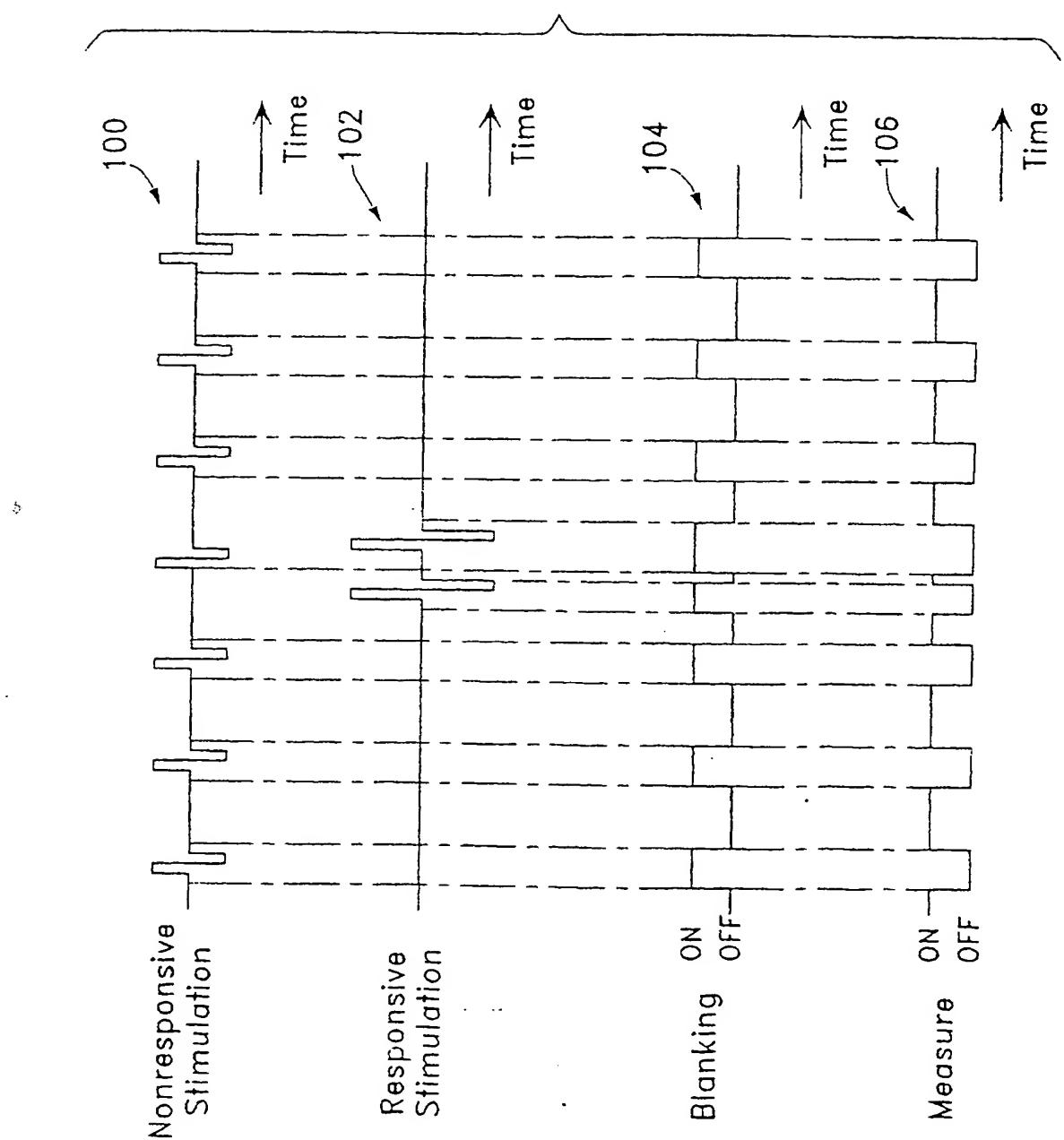
45

50

55

9

Fig. 1A



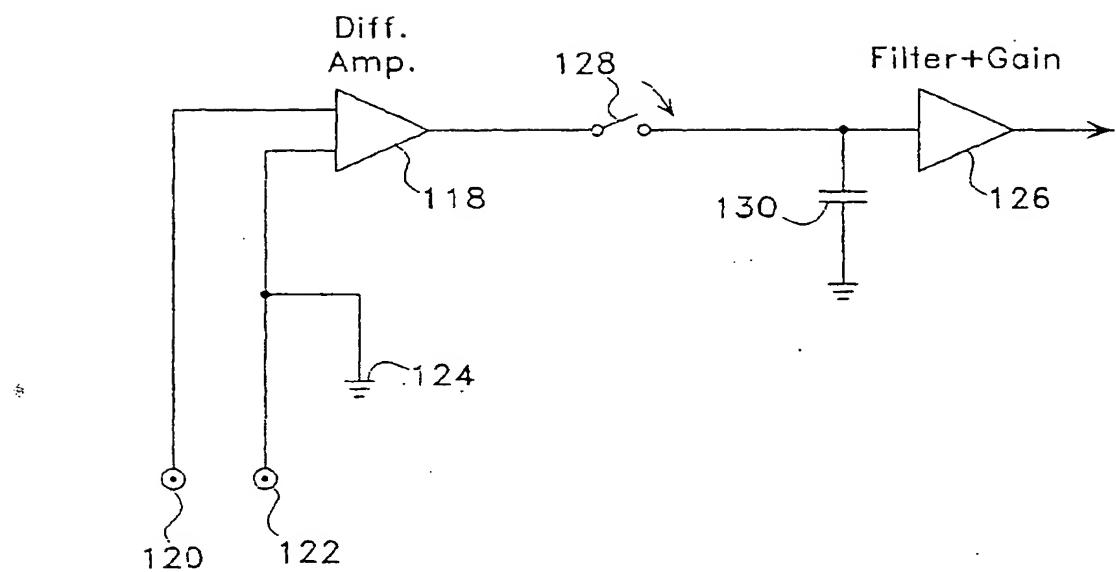


Fig. 1B

Fig. 2A

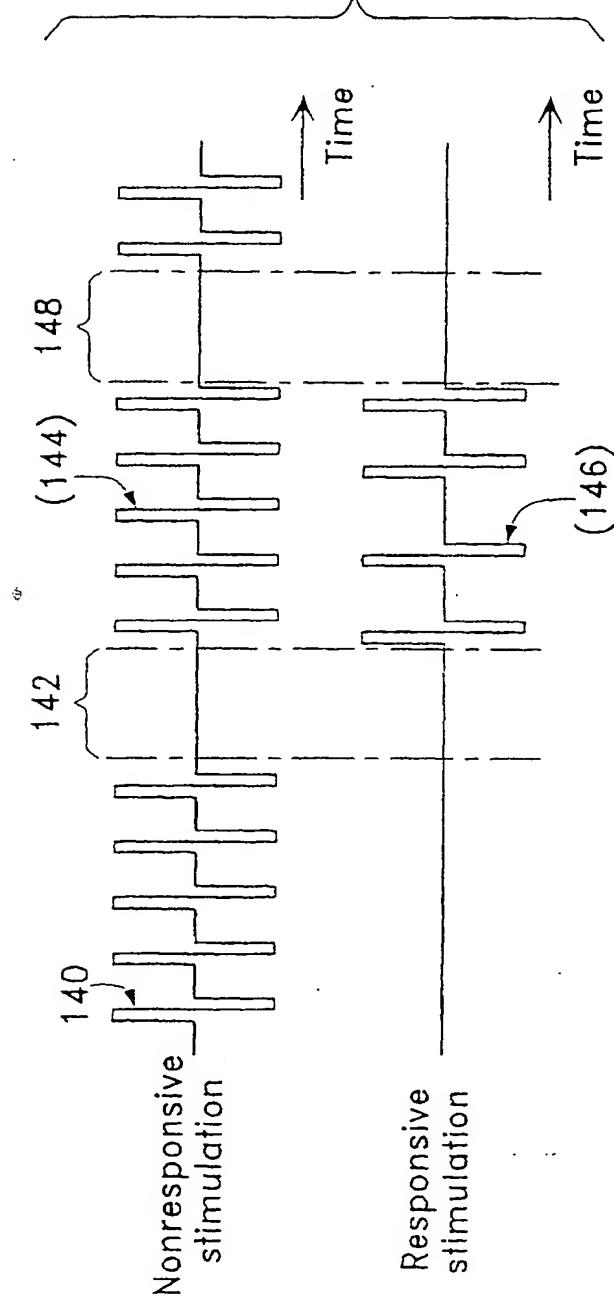
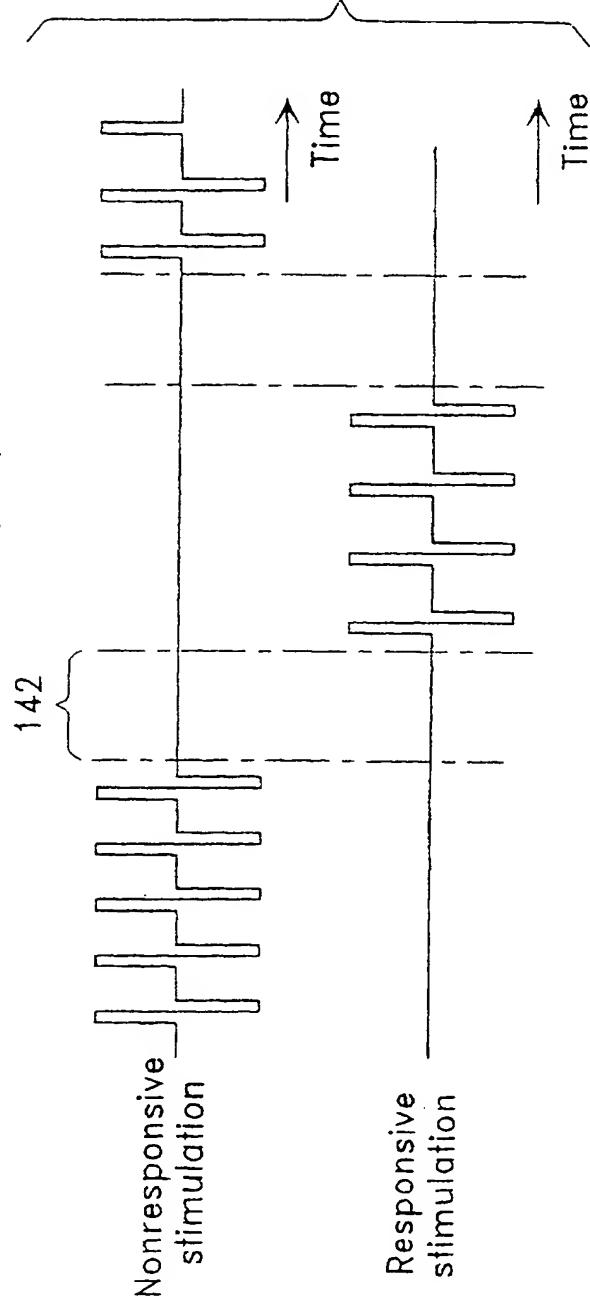


Fig. 2B



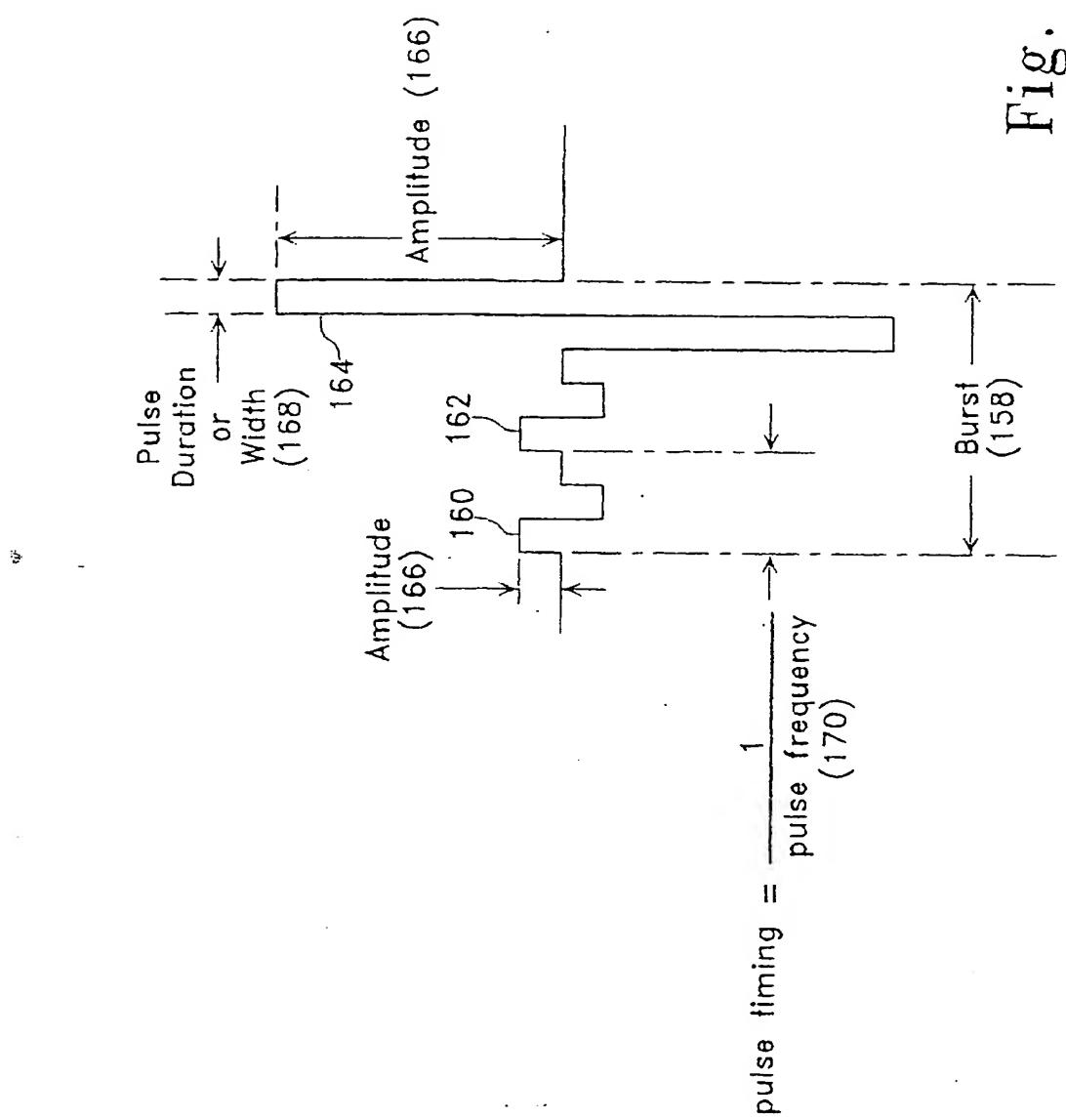
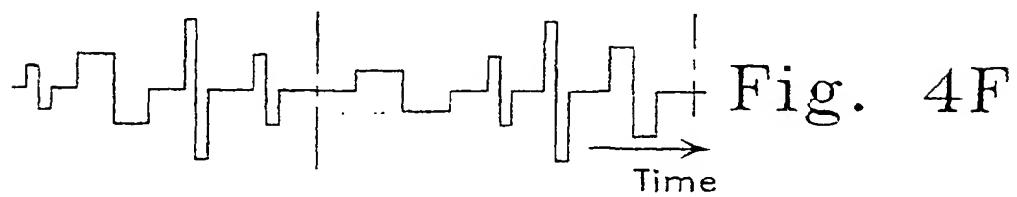
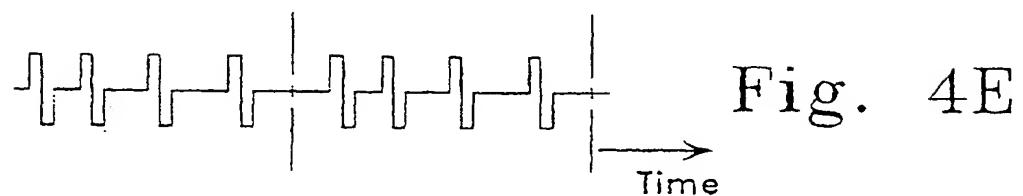
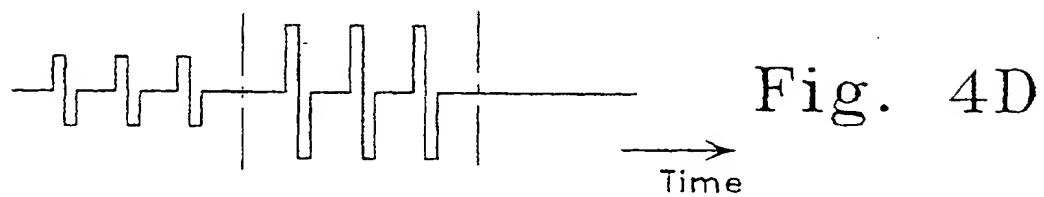
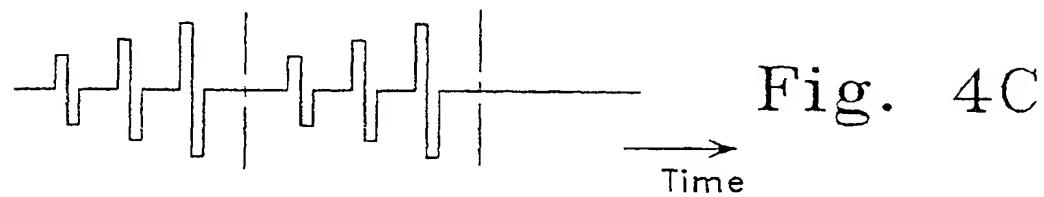
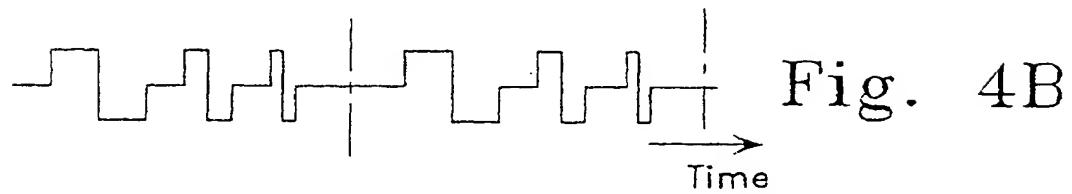
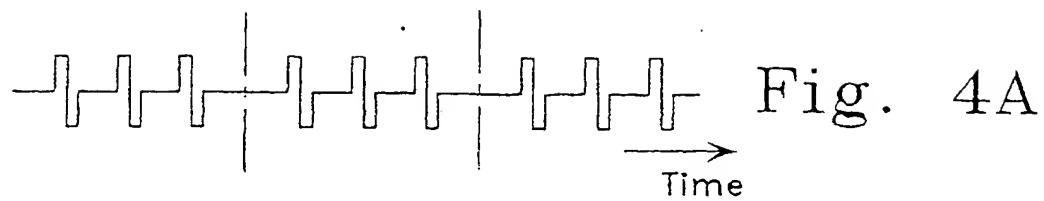


Fig. 3



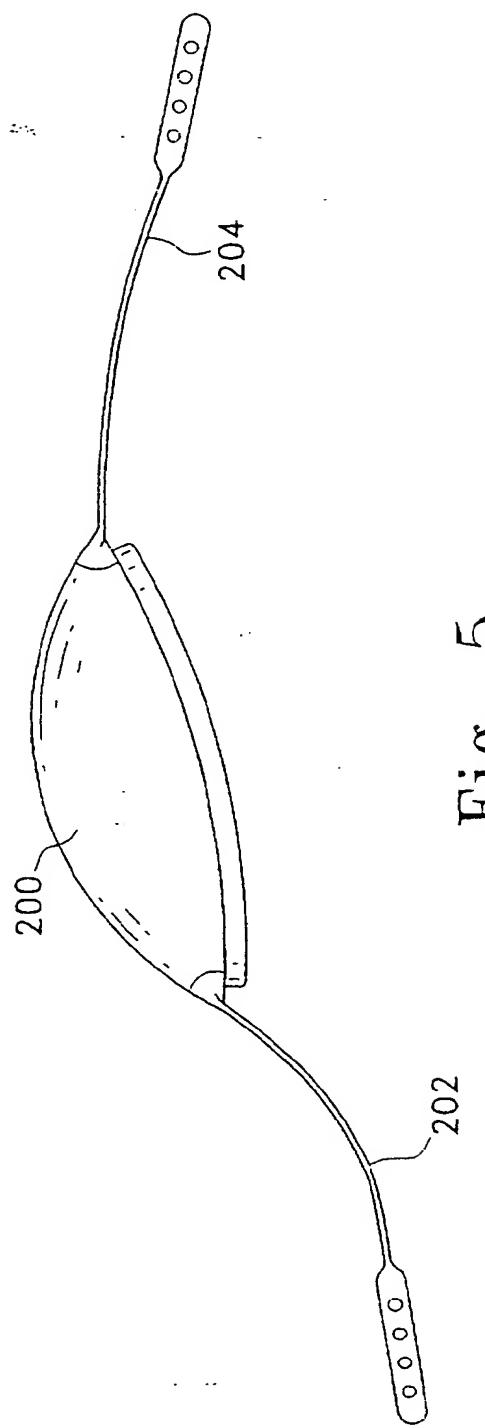
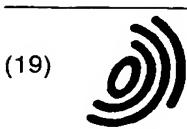


Fig. 5

THIS PAGE BLANK (USPTO)



(19)

Europäisches Patentamt
European Patent Office
Office européen des brevets



(11)

EP 1 145 736 A3

(12)

EUROPEAN PATENT APPLICATION

(88) Date of publication A3:
14.04.2004 Bulletin 2004/16

(51) Int Cl.7: A61N 1/36

(43) Date of publication A2:
17.10.2001 Bulletin 2001/42

(21) Application number: 01303246.1

(22) Date of filing: 05.04.2001

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE TR
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: 05.04.2000 US 543450

(71) Applicant: **Neuropace, Inc.**
Sunnyvale, CA 94085 (US)

(72) Inventor: **Pless, Benjamin D.**
Atherton, California 94027 (US)

(74) Representative: **Price, Nigel John King**
J.A. KEMP & CO.
14 South Square
Gray's Inn
London WC1R 5JJ (GB)

(54) Multimodal neurostimulator

(57) This is directed to an implantable multimodal neurostimulator having improved efficacy in treating epilepsy and other neurological disorders and to processes of using that neurostimulator. The neurostimulator itself generally has two modes of electrical stimulation: the first involves delivering a non-responsive electrical stimulation signal which is applied to the central nervous system to reduce the likelihood of a seizure or other undesirable neurological event from occurring, and a second mode that involves delivering electrical stimulation signal or signals when epileptiform waveforms are im-

pending or extant. The responsive electrical stimulation signal or signals are intended to terminate epileptiform activity, e.g., to desynchronize abnormally synchronous brain electrical activity. Alternatively, the second mode may be used to deliver sensory stimulation, e.g., a scalp or sound stimulation, to the patient rather than deliver electrical stimulation to the patient. Finally, the implanted neurostimulator may be used by a physician to induce epileptiform activity and then verify the effectiveness of the parameters of the first and second neurostimulation signal or signals.

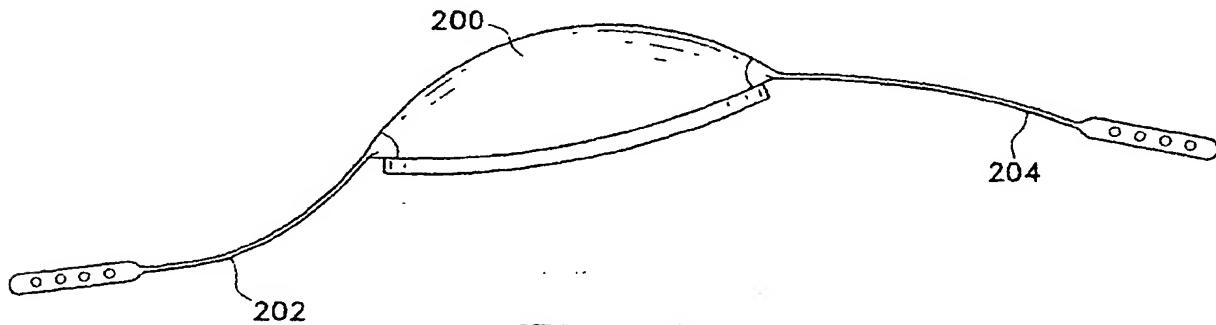


Fig. 5



European Patent
Office

PARTIAL EUROPEAN SEARCH REPORT

Application Number

which under Rule 45 of the European Patent Convention EP 01 30 3246
shall be considered, for the purposes of subsequent
proceedings, as the European search report

DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
X	US 5 683 422 A (RISE MARK T) 4 November 1997 (1997-11-04) * column 2, line 55 - column 3, line 29 * * column 6, line 28-32 * ---	1, 4, 5, 9, 11	A61N1/36
A	US 4 702 254 A (ZABARA JACOB) 27 October 1987 (1987-10-27) * column 4, line 47 - column 5, line 10 * * column 6, line 26-51 * ---	1-5, 10, 11	
A	US 5 215 086 A (BAKER JR ROSS G ET AL) 1 June 1993 (1993-06-01) * column 3, line 36-46 * ---	1	
A	EP 0 911 061 A (NEUROPACE INC) 28 April 1999 (1999-04-28) * column 3, line 19-39 * -----	1-11	
			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
			A61N
INCOMPLETE SEARCH <p>The Search Division considers that the present application, or one or more of its claims, does/do not comply with the EPC to such an extent that a meaningful search into the state of the art cannot be carried out, or can only be carried out partially, for these claims.</p> <p>Claims searched completely : 1-11</p> <p>Claims searched incompletely :</p> <p>Claims not searched : 12-13</p> <p>Reason for the limitation of the search: Article 52 (4) EPC - Method for treatment of the human or animal body by therapy</p>			
Place of search THE HAGUE		Date of completion of the search 18 February 2004	Examiner Smeyers, C
CATEGORY OF CITED DOCUMENTS <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document</p>			

ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.

EP 01 30 3246

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

18-02-2004

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5683422	A	04-11-1997	AU DE EP WO US	2595397 A 69726563 D1 0895483 A1 9739795 A1 5792186 A	12-11-1997 15-01-2004 10-02-1999 30-10-1997 11-08-1998
US 4702254	A	27-10-1987	US AT AU AU CA DE EP JP JP WO US	4867164 A 56151 T 577549 B2 3434684 A 1259379 A1 3483160 D1 0156854 A1 4049423 B 60502192 T 8501213 A1 5025807 A	19-09-1989 15-09-1990 29-09-1988 11-04-1985 12-09-1989 11-10-1990 09-10-1985 11-08-1992 19-12-1985 28-03-1985 25-06-1991
US 5215086	A	01-06-1993	WO	9400189 A1	06-01-1994
EP 0911061	A	28-04-1999	US CA EP US	6016449 A 2251852 A1 0911061 A2 6061593 A 2002099412 A1 6128538 A 6134474 A 6427086 B1 6354299 B1 6360122 B1 6597954 B1 2001056290 A1 2002002390 A1 2001051819 A1	18-01-2000 27-04-1999 28-04-1999 09-05-2000 25-07-2002 03-10-2000 17-10-2000 30-07-2002 12-03-2002 19-03-2002 22-07-2003 27-12-2001 03-01-2002 13-12-2001

EPO FORM P1055

For more details about this annex : see Official Journal of the European Patent Office, No: 12/82

THIS PAGE BLANK (USPTO)

This Page is inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLORED OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.
As rescanning documents *will not* correct images
problems checked, please do not report the
problems to the IFW Image Problem Mailbox

THIS PAGE BLANK (USPTO)